POLS 103 B Comparative Politics

Class 6:

State-making

Kahoot!

Recap

- Anarchy and political order:
 - Freeriders, roving and stationary bandits
- The state:
 - The modern state and its predecessors
 - Characteristics of modern states
 - Functions of (modern) states
 - State capacity and fragility
- International and supranational organizations





Table 8.1
Two Dimensions of Hierarchy and Fixed Territoriality

		Internal Sovereignty	
		Fragmented	Consolidated
Territorial Demarcation	Borders	Italian city-states	French state
	Lack of borders	Hanseatic League Feudalism	Universalist Empire Universalist Theocracy

How have modern states emerged and become dominant?

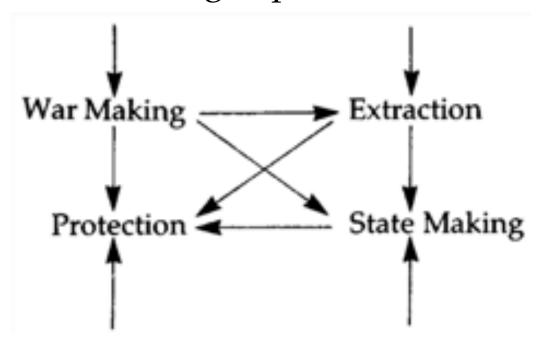
Theories of state-making

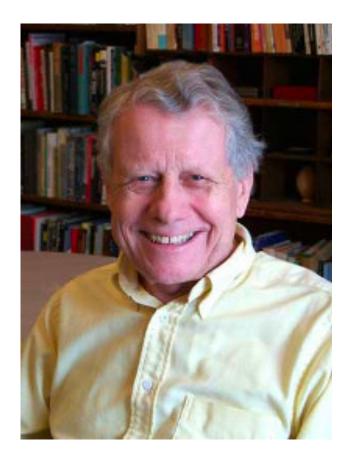
- Bellicist
- Economic
- Cultural
- Diffusion

How have modern states emerged according to Tilly?

State-making through war-making

• The <u>causal pattern</u> of "the classic European state making experience":





State-making through war-making

- State formation process:
 - Political dominance and war-making → War-making capacity
 - Extraction of means of war → Extractive capacity
 - Elimination of rivals + alliance formation

State-making through war-making

- Types:
 - Coercion-intensive
 - Capital-intensive
 - Capitalized coercion
 - Tilly, Charles. 1990. *Coercion, Capital, and European States, AD* 990-1990. Oxford: Blackwell, 1992

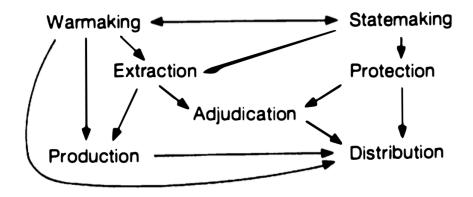
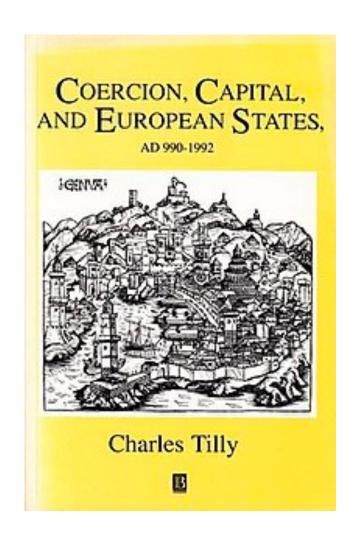


Figure 4.1 Relations among major activities of states.



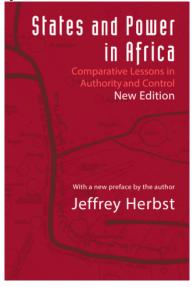
State-making through war-making outside Europe

• Herbst:

 Power "as a series of concentric circles radiating out from the core"

• Herbst, Jeffrey. 2000. *States and Power in Africa: Comparative Lessons in Authority and Control.* Princeton: PUP.

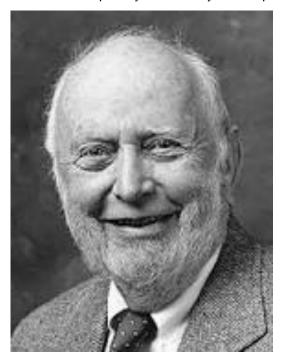






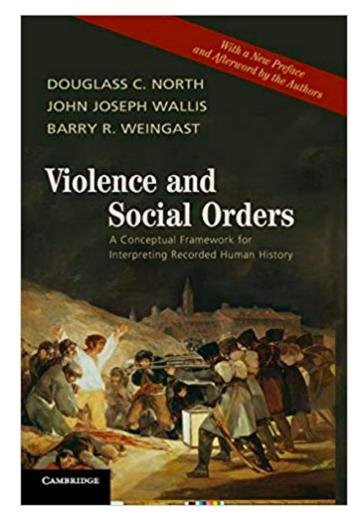
Material interests and state-making

- Natural states
- Open access orders
 - North, Douglass Cecil, John Joseph Wallis, and Barry R. Weingast. 2009. *Violence and social orders: a conceptual framework for interpreting recorded human history*. Cambridge: Cambridge Univ. Press.





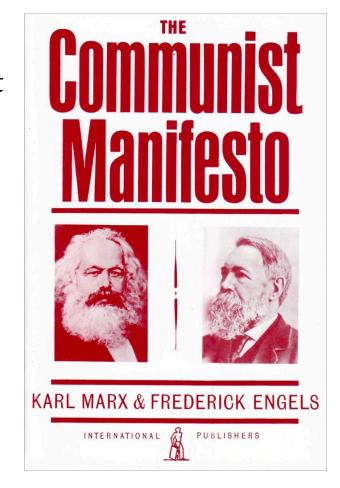




In what conditions do natural states give way to open access orders?

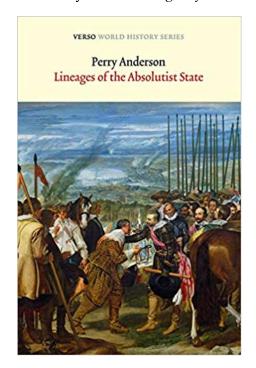
Class interests and state-making

- Marx and Engels:
 - "The executive of the modern state is nothing but a committee for managing the common affairs of the whole bourgeoisie."
 - Marx, Karl, and Frederick Engels. 1848. "Manifesto of the Communist Party."



Class interests and state-making

- The absolutist state as "a redeployed and recharged apparatus of feudal domination, designed to clamp the peasant masses back into their traditional social position"
 - Anderson, Perry. 2013. Lineages of the Absolutist State. London: Verso.

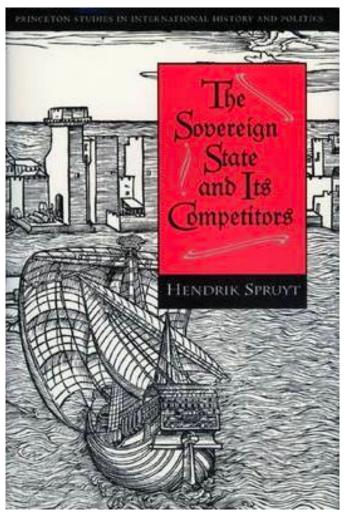




Economic and political development in state-making

- War-making +
- Standardization of currencies and measures, establishment of clearer territorial boundaries
 - → economic development
 - Spruyt, Hendrik. 2005. *The sovereign state and its competitors: an analysis of systems change.* Princeton: Princeton University Press.

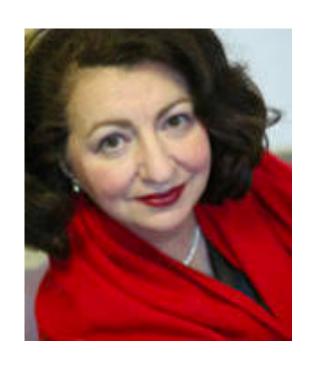




What has been the role of 'culture' in state-making?

Nationalism, religion, and state-making





Diffusion of states

- Spruyt: 'mutual empowerment'
- World society theory:
 - Isomorphism
- Andrews, Pritchett, and Woolcock:
 - Isomorphic mimicry
 - Andrews, Matt, Lant Pritchett, and Michael Woolcock. 2017.
 "Building State Capability: Evidence, Analysis, Action."
 Oxford: Oxford University Press.

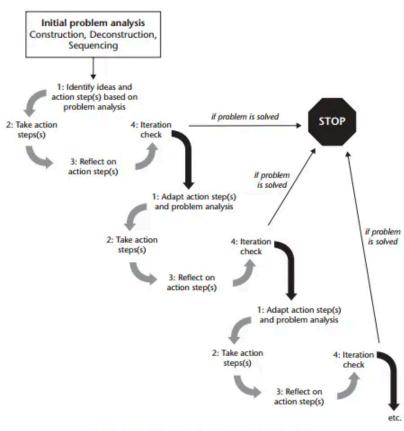


Figure 8.2. The iterative process in simple form

Which theory best explains the formation of the American state?